# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	30					
To: MICHAEL VERGA CONNOLLY BOVE LODGE & HUTZ LLP 1875 EYE STREET, NW SUITE 1100		PCT RITTEN OPINION OF THE RIONAL SEARCHING AUTHORITY				
WASHINGTON, DC 20006	INTERNAT					
		(PCT Rule 43bis.1)				
	Date of mailing (day/month/year)	0 5 JUN 2009				
Applicant's or agent's file reference	FOR FURTHER A	FOR FURTHER ACTION				
22409-00501-WO	(1 (	See paragraph 2 below				
International application No. PCT/US2009/038932 International filing da 31 March 2009	te (day/month/year)	Priority date (day/month/year) 31 March 2008				
International Patent Classification (IPC) or both national classifi IPC(8) - A61F 2/18 (2009.01) USPC - 600/25	cation and IPC	,				
Applicant COCHLEAR AMERICAS						
1. This opinion contains indications relating to the following items:    Sox No. 1   Sasis of the opinion						
If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered.						
If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply logether, where appropriate, with amendments, before the expiration of 3 months from the date of mailting of Form PCT/ISA/220 or before the expiration of 22 months from the princip date, whichever expires lately and the provided of						
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Name and mailing address of the ISA/US Date of completion of	f this opinion	Authorized officer:				
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Facsimile No. 571-273-3201		PCT OSP. 571-272-7774				

Form PCT/ISA/237 (cover sheet) (April 2007)

## PCT/US2009/038932 05.06.2009

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

nternational	application	No.
PCT/US2	009/038932	

Воз	No. I	Basis of this opinion
1	With r	ggard to the language, this opinion has been established on the basis of:
	×	the international application in the language in which it was filed.
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis. I(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of:
	a. typ	e of material
	느	a sequence listing
	. ∟	table(s) related to the sequence listing
	b. for	mat of material
		on paper .
		in electronic form
	c. tim	c of filing/furnishing    contained in the international application as filed   filed together with the international application in electronic form   furnished subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does
5.	Additio	onal comments:
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Form PCT/ISA/237 (Box No. I) (April 2007)

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

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citations and explanations supporting such statement				
i. Statement				
Novelty (N)	Claims	4, 5, 8, 9, 11-14, 20, 21, 24, 25 27-30, 34, 35, 37, 38, 40	YES	
• • •	Claims	1-3, 6, 7, 10, 15-19, 22, 23, 26, 31-33, 36, 39	NO NO	
Inventive step (IS)	Claims	None	YES	
	Claims	1-40	NO	
Industrial applicability (IA)	Claims	1-40	YES	
	Claims	None	NO	

Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability;

### Citations and explanations:

Box No. V

Claims 1.3, 6, 7, 10, 15-19, 22, 23, 25, 3.13-3, 8, and 39 lack novelly under PCT Article 33(2) as being anticipated by Leyeinfer, Referring to laim. Referring to laim. Referring to laim. Referring to laim, referring to laim, referring to laim, and account of the referring to laim, and the referring to laim, and account of laims and account of laims. Referring the referring the

Referring to claim 2, Leysieffer, discloses the system of claim 1, wherein the input signal has a frequency spectrum comprising a broad range of audible frequencies (Para, [0109]).

Referring to claim 3, Leysieffer, discloses the system of claim 1, wherein the stimulation arrangement comprises: an audio output device configured to generate an amplified audio signal representing the input signal (audiometer, Para. [0021]).

Referring to claim 5, Leyplelfer discloses the system of claim 1, wherein the stimulation arrangement comprises: an actuator (transducer, para, [01:4]) concligured to receive electrical signate, representing the input signal and configured to twize this response to the electrical signate, wherein the actuator is coupled to the recipient's ossicular chain, and wherein the ossicular chain delivers the vibration to the recipient's more arr (Para, [01:14]).

Referring to claim 7, Leysieffer discloses the system 0 f claim 1, further comprising a signal generator to generate the input signal (audiometer, Para. 10021).

Referring to claim 10, Leysieffer discloses the system of claim 1, wherein the processor is configured to implement, in real-time, a set of algorithms which assess the neural responses, and adjust the hearing prosthesis operations (Paras, [0042] and [0044]).

Referring to claims 15, Leysieffer discloses the system of claim 1, wherein the system is configured to be integrated into the hearing prosthesis (coupling red 55, Fig. 10).

Reterris to claim 16, Leysieller discloses the system of claim 15, configured to periodically fit the hearing prosthesis (coupling red 56) to the recipient chining operation of the prosthesis (Faxs, 01111) and has a surface compension and surface is such that by pleading the coupling end against the coupling site, dynamic tension-compression force coupling of the coupling element and ossicular chain occur due to surface adversion which is sufficient for secure mutual connection of the coupling element and the sociation chain.

Reterring to claim 17. Leysieller discloses a hearing prosthesis, comprising: a simulation arrangement configured to at least one of mechanically and acoustically stimulate the recipient inner ear based on an input signal (Paras, 1042) and (1044), a neural response detection arrangement configured to detect the recipient's neural responses to the simulation (Paras, 1042) and (1044), and processor configured to satisfacts the recipient's neural responses (Paras, 1042), and of processor configured to assists the recipient's neural responses (Paras, 1042), and of a doubt the operation of the heating protribusts tasted on the satisfact of the heating protribusts tasted on the heating protribusts tasted on the heating protribust tasted on the heating protribusts tasted the heating protribusts the h

Referring to claim 18, Leysieffer discloses the prosthesis of claim 17, wherein the input signal has a frequency spectrum comprising a broad range of audible frequencies (Para. [0109]).

Referring to claim 19, Leysieffer discloses the prosthesis of claim 17, wherein the stimulation arrangement comprises: an audio output device configured to generate an amplified audio signal representing the input signal (audiometer, Para, [0021]).

Referring to claim 22, Laysielfer discloses the prosthesis of claim 17, wherein the stimulation arrangement comprises: an actuator (irranducer, Persa; 0114) and (1008)) configured to receive electrical signals representing the input signal and configured to thirate in response to the electrical signals, wherein the actuator is coupled to the recipient's ossicular chain, and wherein the ossicular chain delivers the vibration to the recipient's inner ear (Para, 10114)).

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## Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Referring to claim 23, Leysieffer discloses the prosthesis of claim 17, further comprising a signal generator to generate the input signal (audiometer, Para. [0021]).

Referring to claim 26, Leysieffer discloses the prosthesis of claim 17, wherein the processor is conligured to implement, in real time, a set of algorithms which assess the neural responses, and adjust the hearing prosthesis operations (Paras. [0042] and [0044]).

Referring to claim 31, Leysieffer discloses a method for fitting a hearing prosthesis to a recipient, comprising: at least one discharged and accustically simulating the recipient's inner are (74ar. (0.044); elsecting the recipient's neural responses to the stimulating the recipient's reverse to the simulating the recipient's neural responses (Para. (0.042)); and adjusting the operation of the hearing prosthesis based on the assessment of the neural responses (Para. (0.042)); and adjusting the operation of the hearing prosthesis based on the assessment of the neural responses (Para. (0.042)).

Referring to claim 32, Leysieffer discloses the method of claim 31, further comprising: generating a signal having a frequency spectrum comprising a broad range of audible frequencies (Para. [0109]); and stimulating the recipient's inner ear based on the generated signal (Para. [0109]).

Referring to claim 33, Leysieffer discloses the method of claim 31, wherein stimulating the recipient comprises: accustically stimulating the recipient with an audio output device configured to generate an amplified audio signal representing an input signal (audiometer, Para. 100211).

Referring to claim 35, Leysieffer discloses the method of claim 31, wherein stimulating the recipient comprises: mechanically stimulating the recipients interior ear with a stimulation arrangement (Paras, 10042) and (0044) comprising: an actuated (ransdoure, Paras, (1014) and (0036)) configured to receive electrical signals representing the input signal and configured to vibrate in response to the electrical signals, on the configured to receive the electrical signals, and wherein the ossibility of the object of the recipient's cause of the

Referring to claim 39, Leysieffer discloses the method of claim 31, further comprising: implementing, in real time, a set of algorithms which assess the neural responses, and adjust the hearing prosthesis operations (Paras. (0042) and (0044)).

Claims 4, 5, 20, 21, 24, 25, 34, and 35 lack an inventive step under PCT Article 33(3) as being obvious over Leysleffer, in view of Leysleffer et al.

Referring to claim 4, Leysiefer discloses the system of claim 1, wherein the stimulation arrangement comprises: an actuator (transducer, Paras, (Dr14) and (0008)) configure to receive electrical signals representing the input signal and configured to shared in response to the electrical signals, and a coupler (coupling rod, (0008)) connecting the actuator to the stapes such that vibration of the actuator results in waves of fluid motion in a recipient's semicircular canal ((tympanic canal, Para; (D013)). However, Leysieffer does not teech a stapes prosthesis having a list end configured to be positioned abutting an opening in the semicircular canal. However, Leysieffer et al. discloses a stapes prosthesis (strupt prosthesis 20) having a first end configured to be positioned abutting an opening in (aval window 22; Col. 5, system to include a stapes prosthesis having a first end configured to be positioned abutting an opening in the semicircular canal, as staped by the system to include a stapes prosthesis having a first end configured to be positioned abutting an opening in the semicircular canal, as

Referring to claim 5, Leysiefler discloses the system 0 I claim 1, wherein the stimulation arrangement comprises: an actuator configured to receive electrical signates representing the input signal and configured to bixtain in response to the electrical signals (firansducer, Para. (0114); an elongate rod extending longitudinally from the actuator (rainsducer, Paras. (0114) and (0036)) connecting the actuator to the control of the control

Referring to claim 20. Leysieffer discloses the system of claim 17, wherein the stimulation arrangement comprises: an actuator (transducer, Paras, Cl 114) and (Collog) configured to velocive electrical signals erepresenting the input signal and configured to vibrate in response to the electrical signals, and a coupler (coupling red, (COSB), connecting the actuator to the stapes such that vibration of the actuator results in awaves of fluid motion in a recipient's resultance in the properties of the properties of the state of the properties of the

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Continuation of:

Referring to claim 21. Loyselfer discloses the prosthesis of claim 17, wherein the simulation arrangement comprises: an actual configured to receive electrical signals expresenting the input signal and configured to Variet in response to the electrical signals (ransducer, Para. [0114]; an elongate rod extending longitudinally from the actuator connecting the actuator (transducer, Paras. [0114] and [0104] and [0104]

Reterring to claims 24 and 25, Loysieffor discloses the prosthesis of claim 17. However, Loysieffor does not teach (Claim 24) the detection arrangement comprises: first and second electrical contacts disposed on the recipient's neurite recipients neurite responses to the stimulation; and (Claim 25) the prosthesis of claim 24, further comprising: a sense amplifier configured to receive signals from the first and second contacts. Vet, Loysieffer et al., teaches a cochear implicant (Claim 24) therein the detection arrangement (receiver/stimulator 22, Para, [0000]) comprises: lirst and second electrical contacts (silm 1 or stim 2, Paras, [0000]) and [0101]) disposed on the recipient's mine or art o detect the recipient's neural responses to the stimulation; and (Claim 25) the system of claim 3, further comprising; a sense amplifier (receiver/stimulator 22, Paras, [000]) and [0101]) configured to receive signals from the first and second contacts (stim 1 or stim 2). It would have been obvolved to one of ordinary skills in the art at the time the reviewnom was made to modify responses to the stimulation; and a sense amplifier configured to receive signals from the first and second contacts, as taught by Leysieffer et al., in order to green damage to the inner ear.

Referring to claim 34, Leysiefer discloses the method of claim 31, wherein stimulating the recipient comprises: directly mechanically stimulating the recipient's inner ear with a stimulation arrangement (Persa; 0.0042) and [0.044] comprises; an actuator configured to receive describid signals representing the input signal and configured to whate in response to the electrical signals (transducer, Para, and the configured to the electrical signals (transducer, Para, and the parameter). The configured to the configured to the configured to the configured to the positioned abutting and parameter (and tympanel or a stapes prosthesis having a first end configured to be positioned abutting an opening in the semicircular canal. Yst, Leysieffer et al. 1 discloses a stapes prosthesis (31) may prosthesis (31) may a first end configured to be positioned abutting and expensing in a (ovel window 22, Cot. 9, Ins. 7-31 Fig. 3). It would have been obvious to one of ordinary skill in the an at the time the invention was made to modify Leysieffer system to Leysieffer 41 and 1 croduce accustic feedback.

Referring to claim 35, Leysiefler discloses the method of claim 31, wherein stimulating the recipient comprises: directly mechanical stimulating the recipient's inner ear with a stimulation arrangement (Paras. Oxol2) and [100-44] comprises; an actuator (transducer), Paras. [0114] and [10038]) configured to receive electrical signals representing the input signal and configured to vibrate in response to the electrical signals, and an electrical signals (probability) comprises (probability) and the electrical signals (probability) and the electrical signals (probability) and the electrical signals (probability) and (pr

Claims 8, 9, 11, 27, 37, 38, and 40 lack an inventive step under PCT Article 33(3) as being obvious over Laysieffer, in view of Ibrahim et al (hereinalter referred to as Ibrahim).

Referring to claims 8 and 9. Leysielfer discloses the system of claim 1. However, Leysielfer does not teach (Claim 8) wherein the detection arrangement comprises. First and second electrical contacts disposed on the recipiers in nerine rear to detect the recipiers in source responses to the stimulation, and (Claim 9) the system of claim 8, further comprising, a sense amplilier configured to receive signals from the first and second contacts. Yet, Inzhaim teaches a corchiaer impliant (Claim 8) wherein the detection (receiver/simulator 22, Para (1909)) arrangement comprises. Birst and second electrical contacts (stim 1 or stim 2, Paras (1909) and (1011) disposed on the recipient's nerine are 1 obtact the recipient's neural responses to the simulation, and (Claim 9) the system of claim 8, further comprising; a sense amplifier (receiver/simulator 22, Paras (1909) and (1011) ponfigured to receive signals from the first and second contacts (stim 1 or stim 2), it would have been ordivious to over old ordinary skill in the art at the time the invention was made to modify Leysielfer system to riccitide a sense amplifier configured to receive signals from the first and second contacts, as taught by Ibrahim, in order to prevent damage to the interer ear.

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Reterring to claim 11, Leysieffer discloses the system of claim 1. However, Leysieffer does not leach the processor is configured to assess the neural responses. You comparing the responses to target neural responses. You built have a comblaar implant wherein the processor (circular) 10, Fig. 2) is configured to assess the neural responses by comparing the responses to larget neural responses (Para, 1011). It would have been obvious to one of ordinary skills in the art at the time the invention was made to modify Leysieffer's system to include a processor is configured to assess the neural responses by comparing the responses to larget neural responses, as taught by Itarim. To automatically adust the implant.

Referring to claim 27, Leysielfer discloses the prosthesis of claim 17. However, Leysielfer does not teach the processor is configured to assess the neural responses. Yet plant in escohes in youngaring the responses to large the undar responses. Yet, braisht teaches a corbiear implant wherein the processor (circuity 10, Fig. 2) is configured to assess the neural responses by comparing the responses to large the under the processor (circuity 10, Fig. 2) is configured to assess the neural responses by comparing the responses to large the under the processor is configured to assess the neural responses by comparing the responses to larget neural responses, as taught by librahm. To automatically adust the implant.

Releming to claims 37 and 38, Leysielfer discloses the method of claim 31. However, Leysielfer does not leach wherein (Claim 37) detecting the neural responses with hist and second electrical contacts disposed on the recipient's inner ear; and (Claim 38) the method of claim 37, burber comprising; delivering signals representing the detected neural responses to the stimulation comprises and the response to the stimulation comprises and the response to the stimulation comprises and the response to the stimulation comprises detecting neural responses to stimulation comprises detecting neural responses to stimulation comprises detecting neural responses using first and second detectical contact (stim 1 or stim 2, Paras, (DSO) and (IOII) disposed on the recipient's interies are and (IOII) disposed on the recipient's interies are series and (IOII) disposed on the recipient's interies are series and (IOII) disposed on the recipient's interies are series and (IOII) disposed on the recipient is more as and (IOIII) disposed on the recipient is and (IOIII) disposed on the recipient is and (IOIII) disposed on

Referring to claim 40, Leysieller discloses the method of claim 31. However, Leysieller does not teach assessing the neutral responses compless: companing the described responses to target insured inspenses. Yel, Inzham teaches assessing the neutral responses by companing that is horse to the companing the companing that the companing that is the companing that the compan

Claims 12-14, and 28-30 lack an inventive step under PCT Article 33(3) as being obvious over Loystelfer, in view of Bachler. Referring to claims 12 - 14, Loystelf edicideose the system of claim 1-1, however, Loystelfer does not leach (Claim 12) the processor is configured to adjust the operation of the hearing prosthesis to provide at least one of equal loudness and optimal loudness restoration across a desired audition ferourney range; (Claim 13) the processor is configured to adjust the operation of the hearing prosthesis to improve spaced perception by the recipient, and (Claim 14) the processor is configured any interment one or more safety guidelines which improve spaced perception by the recipient, and (Claim 14) the processor is configured to adjust the operation of the hearing prosthesis to provide at least one of equal loudness aminet m212 a processor (processing unit 3) als is configured to adjust the operation of the hearing prosthesis to provide at least one of equal loudness and optimal loudness restoration across a desired audited frequency range (Col. 3. Lns. 30-33). (Claim 13) the processor (processing unit 3) is configured of adjust the operation of the hearing prosthesis to improve speech proception by the recipient (Col. 3, Lns. 30-33). The user will automatically adjust his speech according to what he hears.), and (Claim 14) the processor (processing unit 3) is configured imprement one or more safety guidelines which prevent adjustment of the hearing prosthesis to desire the processor (processing unit 3) is configured imprement one or more safety guidelines which prevent adjustment of the hearing prosthesis in the art at simulation demanding the recipient's hearing (Col. 3, Lns. 36-31). It would have been claused to demand the processor of the surface of the surface and the arrangement above, as taught by Bachler, to prevent damage

Referring to claims 28-30, Leysietfer discloses the prosthesis of claim 17. However, Leysietfer does not teach (Claim 28) the processor is configured to adjust the operation of the hearing prosthesis to provide at least one of equal touchess and optimal loudness retoring across a desired audible frequency range, (Claim 29) the processor is configured to adjust the operation of the hearing prosthesis to make the configured to adjust the operation of the hearing prosthesis to make the processor in the processor of the hearing prosthesis to hearing the configured to adjust the operation of the hearing prosthesis that would result in situation amonging to the recipient's hearing. Yet, Bacheir teaches a loudness limiter that includes (Claim 28) a processor (processor junt 3) that is configured to adjust the operation of the hearing profitesis to provide at least one of equal loudness and optimal loudness restoration across a desired audible frequency range (Col. 3), perception by the recipient (Col. 3). Lns. 30-33. The user will automatically adjust his speech according to what he hears), and (Claim 30) the processor (processing unt) 3) that configured implement one or more safety guidelies without prevent adjustment of the hearing prosthesis that would result in stimulation damaging to the recipient (Col. 3, Lns. 30-41). It would have been obvious to one of processor (processing unt) 3 to encounter a device of the most of the hearth and the hearth and the first the reviews the reviews of the processor (processing unt) 3 to encounter and the hearth and the results with the processor (processing unt) 3 to encounter and the hearth and the results with the processor (processing unt) 3 to encounter and the results are reviewed to modify Leysiether's system to include the arrangement above, as taught by ordinary with in the act at the time the reviews the variation was made of the ordinary all the recipient (continued in the processor (processing until 3) to entire the processor (processing until 3) to entire the proceso

Claims 1-40 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.